



GEORGE MASON UNIVERSITY | School of Business
GOVERNMENT CONTRACTING INITIATIVE

ERM 2016 SUMMIT Unlocking Organizational Value

Nov. 7–8, 2016

Association for Federal Enterprise Risk Management

The purpose of the Association is to be a professional organization dedicated to the advancement of federal Enterprise Risk Management (ERM). The Association shall serve its members by providing a forum for discussion of issues relevant to participants in the federal risk management profession, sponsoring appropriate educational programs, encouraging professional development, influencing governmental risk management policies and practices and serving as an advocate for the profession. The Association serves government officials and the public by sponsoring efforts to ensure full and fair accountability for management of risk in achieving organizational objectives, and by providing a variety of pro bono services throughout the United States and its territories that support that end.

Mission

Our mission is to advance the practice of ERM in the federal government through thought leadership, education and collaboration. AFERM provides specific programs and opportunities to educate members and stakeholders on the benefits, tools and leading practices of Federal ERM. AFERM also fosters collaboration with organizations/stakeholders to promote laws, regulations and policies to establish Federal ERM in the various Agencies and Departments.

Membership

By becoming an AFERM member, you can get up to date Risk Management information. Join our physical and virtual community to share ideas, promote best practices, and build professional relationships. Get exclusive access to workshops, training, networking events and Risk Management luncheons to continue your professional development. Get access to online technical articles and information on federal enterprise-wide risk management techniques, trends, our quarterly newsletter, and our members' only Online Resource Centre and discussion forum.

Association for Federal Enterprise Risk Management
1050 Connecticut Ave NW, PO Box 66281
Washington, DC 20035-6281
Website: <https://www.aferm.org/>

Mason GovCon

Mason GovCon is building a distinctive competency in the Business of Government and Government Contracting at Mason's School of Business.

The GovCon market is a huge (\$500B+), diverse, sophisticated, and interesting industry whose business issues are worthy of specialized academic study, research, and curricula.

The industry is based in the Northern Virginia-DC Capital region, likely employs 1 in every 6 professionals in the area, and has some 10,000 Mason alumni working in it.

Being known for having a distinctive competency in the Business of Government and Government Contracting will be a competitive advantage for Mason's School of Business and helps to create a more efficient and effective market for the public good.

Mason GovCon Executive Education: Expand Your Career & Your Business

Building GovCon Acumen:
From the Tactical to the Strategic

Tech-to-Exec:
From the Technical to the Tactical

Mason GovCon Initiative
School of Business
George Mason University
Fairfax, VA 22030
Website: <http://govcon.gmu.edu>



ERM: UNLOCKING ORGANIZATIONAL VALUE

Enterprise Risk Management (ERM) is receiving a lot of attention these days across the Federal government. Why? In large part it is due to the addition of ERM requirements in the updated OMB Circular A-123.

At the Association of Federal Enterprise Risk Management (AFERM), we believe that ERM brings significant benefits to Federal agencies. Effective ERM programs result in improved decision-making, risk-informed resource allocation, and better stewardship of scarce resources. Taken together, these benefits enable agencies to achieve, and even surpass their objectives.

ERM integrates data across functions and up and down the organization and surfaces not just risks but also opportunities. It is this underlying value proposition that led AFERM to build its 2016 annual Summit around the theme: *ERM: Unlocking Organizational Value*. Attendees can build a risk-aware culture, increase transparency within their organization, and provide senior leaders risk-informed choices for key decisions. ERM can unlock organizational value that may already exist in your agency, but has not been tapped.

Summit attendees and sponsors have the opportunity to associate and converse with thought leaders, visionaries, and experts in the field. Build your network with influential innovators and top-level executives who can help you develop or mature your ERM strategy. Take the opportunity to build partnerships for the future with large and small agencies. This is your chance to absorb new ideas, tools, and turn them into real value for your ERM efforts, whether you are just getting started or have been doing it for years.

At this year's Summit, you will have the opportunity to hear the stories and lessons of ERM firsthand at the AFERM Summit, including specific examples on how organizations have benefited from their ERM programs.

You will learn how an effective ERM capability will positively impact what is possible for your organization to achieve.

Take this opportunity to actively engage with speakers and colleagues to find out how ERM is *Unlocking Organizational Value* for others, and how it could do so for you.

WiFi Access

Network	Omni Meetings
Username	AFERM
Password	AFERM

Get the Summit Mobile App

For Apple devices, go to the Apple App Store, search "AFERM" and download the app specifically for the "AFERM Summit 2016".



Monday, November 7, 2016

**7:30 am
Continental Breakfast**

Blue Pre-Function & Blue Rooms

**8:30 am
Welcome & Opening Comments**

Blue Room

DAVID FISHER
Chair, 2016 ERM Summit Planning Committee

TODD GRAMS
President, Association for Federal Enterprise
Risk Management (AFERM)

DR. SARAH E. NUTTER
Dean, George Mason University School of Business

**8:45 am
Session 1: ERM Perspectives from OMB**

Blue Room

DAVID MADER
Controller of the United States
Office of Management and Budget

Moderator: TODD GRAMS

**9:45 am
Session 2: Improving Organizational
Performance through ERM Foresight**

Blue Room

BRIAN PHILBIN
Assistant Commissioner, Audit, Evaluation, and
Risk Branch & Chief Audit Executive for the
Canada Revenue Agency

Moderator: DAVID FISHER

**10:45 am
Break**

Exhibit Hall Open in Blue Pre-Function Room

**11:00 am
Session 3**

**Practical Tactical Methods – Session 3a:
Connecting Enterprise Risk Management
with Budgeting to Inform Decision Making**

Governor's Room

MARK DALEY
Acquisition & Grants, Department of Commerce

RICHARD LUCAS
Director, Budget Group, Federal Student Aid
Department of Education

Moderator: DENISE LIPPUNER
Partner, Grant Thornton

**Session 3b: How Do Attachment and
Emotion Reduce Change Risk?**

Blue Room

VICTORIA GRADY
Assistant Professor of Management
George Mason University

MANDY O'NEILL
Associate Professor of Management
George Mason University

Moderator: BOB YOUNG
Federal Aviation Administration

**Session 3c: The Value of ERM in Managing
Your Reputation**

Hampton Room

ANDREA BONIME-BLANC
CEO, GEC Risk Advisory

FRANCES NWACHUKU
Director, Risk Management
Department of Energy Loan Programs Office

ERIK EDLUND
Corporate Executive Board

Moderator: TOM STANTON
Johns Hopkins University

**12:00 pm
Lunch**



Blue Room

**1:00 pm
Session 4**

**Practical Tactical Methods – Session 4a:
A Risk-Based Approach to Governing Your
Most Critical Data Assets**

Governor's Room

JENNIFER SCHULTZ
Enterprise Data Strategy and Governance, Freddie Mac

Moderator: ROBERT PARR
Managing Director, KPMG

**Session 4b: Small Agency Focus —
Considering ERM Program Size during
Implementation to Maximize Effectiveness**

Blue Room

ANDREA PEOPLES
Enterprise Risk Program Manager
Small Business Administration

LORI GIBLIN
Chief Risk Officer, Corporation for National and
Community Service

ALICE MILLER
Chief Risk Officer, Millennium Challenge Corporation

Moderator: VALERIE LUBRANO
Director, Operational Risk Management
Overseas Private Investment Corporation

Session 4c: Value of ERM for Inspectors General, Evaluators, and the Public

Hampton Room

BOB WESTBROOKS
 Inspector General
 Pension Benefit Guaranty Corporation

SCOTT DAHL
 Inspector General, Department of Labor

KENNETH TINSLEY
 Chief Risk Officer, Export-Import Bank

ROSLYN MAZER
 Inspector General, Federal Trade Commission

Moderator: JESSICA SOUTHWELL
 Chief Performance and Risk Management Officer,
 Department of Labor, Office of the Inspector General

**2:00 pm
 Coffee Break**



Exhibit Hall Open in Blue Pre-Function Room

**2:15 pm
 Session 5**

Practical Tactical Methods – 5a: Selecting and Implementing Information Technology to Manage Risk Data

Governor’s Room

JAMES BONE
 President, Global Compliance Associates

MARK LIVINGSTON
 Office of the Chief Risk Officer
 Transportation Security Administration

LEE PRICE
 Acting Chief Risk Officer
 Federal Deposit Insurance Corporation

Moderator: MARIANNE ROTH
 Office of the Chief Risk Officer
 Transportation Security Administration

Session 5b: Integrating ERM, Strategy, and Performance

Blue Room

PEGGY SHERRY
 DCFO, Office of the Comptroller of the Currency

CHRISTOPHER MIHM
 Managing Director, Strategic Issues
 Government Accountability Office

LILLIAN GILMORE
 Director, Strategic Planning & Performance Management, General Services Administration

ASHLEY MOORE
 OCIO, Risk Management Division
 Broadcasting Board of Governors

Moderator: DANIELLA DATSKOVSKA
 Senior Manager, EY

Session 5c: ERM at a Time of Administration Transition

Hampton Room

JOHN KAMENSKY
 Senior Fellow, IBM Center for the Business of Government

KRISTINE SIMMONS
 Vice President, Government Affairs
 Partnership for Public Service

**3:15 pm
 Break**

Exhibit Hall Open in Blue Pre-Function Room

**3:30 pm
 Session 6**

Blue Room

ROBERT HIRTH
 Chairman of the Board, Committee of Sponsoring Organizations (COSO) of the Treadway Commission

Moderator: TOM STANTON
 Johns Hopkins University

**4:30 pm
 Annual Award Presentations & Day 1 Wrap-Up**

Blue Room

TODD GRAMS
 President, AFERM

**4:45 pm
 Networking Event**



Blue Room, Blue Pre-Function Room, and Terrace

**6:00 pm
 Day 1 Concludes**

Helpful Reminders

CPE Credit Form

If you wish to claim CPE credits for the ERM Summit, please drop your form off at the registration table.

Summit Evaluation Form

Your opinion is important to us. Please drop off your Summit evaluation form at the registration table.

Tuesday, November 8, 2016

**7:30 am
Continental Breakfast**

Blue Pre-Function Room and Blue Room

**8:30 am
Day 2 Opening Comments**

Blue Room

TODD GRAMS
President, Association for Federal Enterprise Risk Management (AFERM)

JOHN HILLEN
Chairman, Mason GovCon Initiative, Executive in Residence, and Professor of Practice, George Mason University School of Business

**8:45 am
Session 7**

Blue Room

DEPARTMENT OF COMMERCE
ERM LEADERS —

ELLEN HERBST
Assistant Secretary of Administration and Chief Financial Officer

NANCY POTOK
Deputy Director and Chief Operating Officer
U.S. Census Bureau

GEORGE JENKINS
Chief Financial Officer, National Institute of Standards and Technology

TONY WILHELM
Chief Performance and Risk Officer, National Oceanic and Atmospheric Administration

Moderator: DR. KAREN HARDY
Deputy Chief Risk Officer, Department of Commerce

**9:45 am
Session 8**

Blue Room

SCOTT WILLIAMS
Director of Enterprise Risk Management
Lockheed Martin

Moderator: EDMUND GREEN
Managing Director, KPMG

**10:45 am
Break**

Exhibit Hall Open in Blue Pre-Function Room

**11:00 am
Session 9**

**Practical Tactical Methods – Session 9a:
Leveraging SWOT Analysis to Unlock Value in
an ERM Implementation**

Governor's Room

CATHY KRONOPOLUS
Chief of Benefits Administration
Pension Benefit Guaranty Corporation

Moderator: BERNICE LEMAIRE
Pension Benefit Guaranty Corporation

**Session 9b: Generating Value Through
ERM, Risk Appetite, and Collaboration:
An IRS Case Study**

Blue Room

SUNITA LOUGH
Commissioner, Tax Exempt/Government Entities, IRS

HEATHER MALOY
U.S. Tax Controversy Leader, EY; former Acting Deputy
Commissioner for Services & Enforcement, IRS

VICTORIA JUDSON
Associate Chief Counsel, Tax Exempt/Government
Entities, IRS

Moderator: DAVID FISHER
Managing Director, PwC

**Session 9c: Leveraging Existing Centers of
Excellence when Implementing ERM**

Hampton Room

NANCY POTOK
Deputy Director and Chief Operating Officer, U.S.
Census Bureau

DJ LAVOY
Deputy Assistant Secretary, Department of Housing and
Urban Development

SALIM MAWANI
Senior Advisor, Federal Motor Carrier Safety
Administration, Department of Transportation

Moderator: KATHERINE TKAC
Risk Manager, Smithsonian Institution

**12:00 pm
Lunch**

Blue Room



**1:00 pm
Session 10**

**Practical Tactical Methods – Session 10a:
Assessing Risk in Conjunction with
Risk Appetite**

Governor's Room

BERNICE LEMAIRE
Chief Auditor for the Office of Benefits Administration,
Pension Benefit Guaranty Corporation

Moderator: BOB WESTBROOKS
Inspector General, Pension Benefit Guaranty Corporation

Session 10b: Before You Can Generate Value with ERM, You Have to Survive

Blue Room

MONTRICE YAKAMOV
Chief Risk Officer, Bureau of Fiscal Service
Department of Treasury

DOUG CLIFT
Chief, Office of Risk Management and Performance Evaluation, Census Bureau

KEN FLETCHER
Chief Risk Officer, Transportation Security Administration

Moderator: TODD GRAMS
Managing Director, Deloitte

Session 10c: Managing the Cost of ERM

Hampton Room

KEN PHELAN
Chief Risk Officer, Department of the Treasury

MATT SHINKMAN
Corporate Executive Board

Moderator: STEWART EVENHAUGEN
Senior Risk Analyst in the Office of Policy
Department of Homeland Security

**2:00 pm
Coffee Break**



Exhibit Hall Open in Blue Pre-Function Room

**2:15 pm
Session 11: An ERM Conversation**

Blue Room

KEITH CURETON
Corporate Group Manager Enterprise Risk Management, United Parcel Service (UPS)

Moderator: BOBBI-JO PANKAJ
Director, Grant Thornton

**3:15 pm
Summit Wrap-Up**

Blue Room

TODD GRAMS
President, AFERM

SALLYANNE HARPER
President-Elect, AFERM

**3:30 pm
Non-AFERM Members Depart;
Break for everyone else**

Exhibit Hall in Blue Pre-Function Room

**3:45 pm
AFERM Annual Membership Meeting**

Blue Room

TODD GRAMS
President, AFERM

SALLYANNE HARPER
President-Elect, AFERM



The ERM 2016 Summit offers 11 CPE credits.

CPE credit forms are available at the Summit Registration Desk.

Course Policies, Refunds and Cancellations Requests for refunds must be received by email. No refunds will be granted after October 31, 2016. For more information regarding refund, complaint and/or program cancellation policies, please contact our offices at 703-993-1638.

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Sallyanne Harper	AOC Solutions

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Gerald Andriole	Deloitte
Norma Floyd-Sayles	ePMO
Thomas Holland	PwC
Wayne Dunbar	Federal Retirement Thrift Investment Board
Donald Reid	Department of Energy

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Caitlin McGurn, Chair	PwC
Betsy Eger	KPMG
Crystal Wolf	PwC
Donald Reid	Department of Energy
Katherine Tkac	Smithsonian
Nicole Puri	Housing and Urban Development
Sukriti Gujral	Accenture

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Jonathan Woolridge	Deloitte
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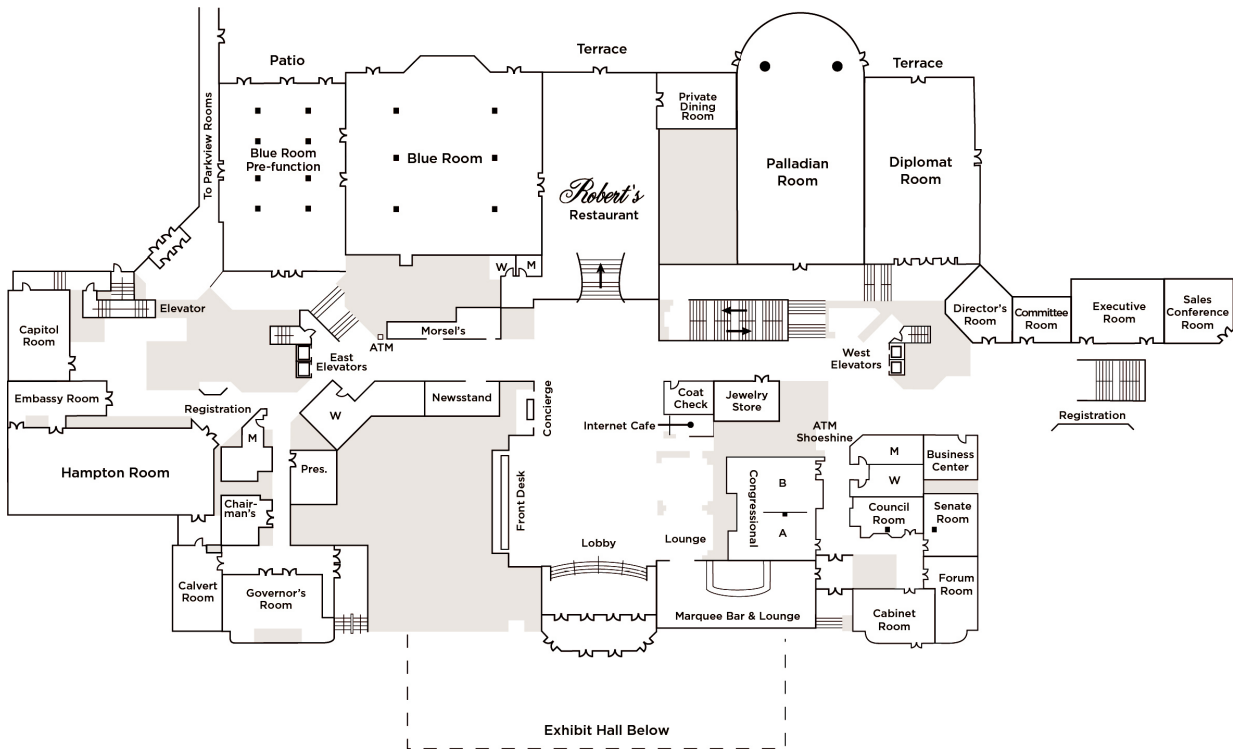
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Sharae Parker	T. White Parker
Bernice Lemaire	Pension Benefit Guaranty Corporation
Sean Vineyard	Kearney & Company

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Daniella Datskovska, Chair	Ernst & Young
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Shoreham Lobby Level



NOTES

Sponsors

AFERM greatly appreciates our Corporate Sponsors and their valued support of our efforts to promote the advancement of Enterprise Risk Management in the federal government.

In addition, the following are recognized for their additional support for the 2016 Summit:

Badges

EY

Lunch

Day 1: Deloitte

Day 2: PwC

Coffee Breaks

Day 1: Kearney & Co

Day 2: KPMG

Mobile App

Grant Thornton

Networking Reception

PwC

PLATINUM

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